**○Report of disclosure of individual financial interests**

Date: (month) (day), (year)

To the University President

(Affiliation)

(Title)

(Name)

I am reporting the following as stipulated by Article 10 of National University Corporation University of Tsukuba Rules on Conflict of Interest.

1. Covered period: April 1 , (year) – March 31, (year)

2. Details of individual financial interests related to university-industry collaborative activities (including spouse and up to second-degree relatives who share the same livelihood)

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| --- | --- | --- | --- |
| Name and address of a company etc. | Relationships between the national university corporation the University of Tsukuba (hereinafter referred to as “the University of Tsukuba”) and a company etc. (Add a circle to the left of applicable items. The following relationships include ones held prior to the covered period. See Notes 2. ) | | |
|  |  | a. Transferred of the research results held by the University of Tsukuba | |
|  | b. In contractual relationship with the University of Tsukuba such as commissioned research, joint research, academic guidance and special joint research project | |
|  | c. Making donations etc. to the University of Tsukuba | |
|  | d. Providing goods or services to the University of Tsukuba | |
|  | e. Invested or with personnel and technical consultation assisted by the University of Tsukuba | |
|  | f. Others (to specify) | |
| Type of financial interests (Add a circle to the left of applicable items) | | Amount (monetary amount, number of stocks, etc., held) |
|  | Due to side business at an outside company | (yen) |
|  | Due to licensing fee from or disposal of research results | (yen) |
|  | Payment of all or part of salary | (yen) |
|  | Stock holdings etc. |  |

Notes:

1. Fill out one sheet for each company etc.

2. “Relationships between the University of Tsukuba and a company etc.” refers to a 10-year period including the current year as for a. and e., and a 3-year including the current year as for the others.

3. There is no need to file a declaration if “a company etc.” is a public institution other than a company (e.g. the national or a local government, a university, an independent administrative agency, etc.).

4. “Donations etc.” refers to monetary donations; research subsidies; donation of facilities, equipment or other items; free provision of services etc. (University of Tsukuba Donation Rules (Rule 32 of the 2006 University Rules)). It does not include items given by individuals.

5. “Financial interests” must be included on this form, only if the individual interests (side business payment, licensing fee, payment of all or part of salary) received from entities, such as companies, amount to 1 million yen or more in the single current year. (Covered individual financial interests include the total amount of 1 million yen or more received from not only a single company but also two or more companies during the same fiscal year.)

6. “Financial interests” must be included on this form, even if they are received by a faculty or other staff’s spouse and up to second-degree relatives with a common livelihood. In that case, in the “Amount” section of the form, write the corresponding monetary amount, number of shares, etc., by noting in parenthesis (x yen for spouse and up to second-degree relatives with a common livelihood) or (x shares for spouse and up to second-degree relatives with a common livelihood).

7. Interests “due to side business at an outside company” include manuscript or lecture fee, but exclude honoraria for medical service or academic education.

8. Interests “due to licensing fee from or disposal of research results” do not include the compensation paid by the University of Tsukuba in accordance with the stipulations of Article 10, University of Tsukuba Work Invention Rules (2004 University Rule No. 5).

9. “Payment of all or part of salary” must be included on this form when all or part of that is funded by a company etc. though that is paid by University of Tsukuba as in the case of externally funded employee or employment by cross appointment system (including the case of being paid directly by a company etc. through cross appointment system), and also his or her spouse or up to second-degree relatives with a common livelihood are employed by the company. In the case of spouse and up to second-degree relatives with a common livelihood, they should be written in parentheses as in Note 6 above.

10. “Stock holdings etc.” includes both unlisted stocks and publicly traded stocks. For unlisted stocks, this applies to any stock held; for listed stocks, this applies if 5% or more of issued stocks are held. This also includes stock acquisition rights and equity in equity method companies, including limited liability companies, general partnerships, and limited partnerships. When filling in the monetary amount etc., note the type; for stocks or stock acquisition rights, number of stocks; for the equity stake in limited liability companies etc., the monetary amount.